

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/259,981 03/01/99 BEAN T 02950.P010

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES CA 90025-1026

0222/0407

Aspect
Telecommunications
NOT ASSIGNED

DATE MAILED:

2756

04/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 1502

☒ 1. The statutory basic filing fee is:

- ☐ missing
- ☐ insufficient

Applicant must submit \$ 11760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ 234 for 3 independent claims over 3.

\$ 378 for 21 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s) identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/22/1999 SSA/LEKU 00000054 09253981 130
01 FC:105



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

NOTICE OF INCOMPLETE RESPONSE
Filing Date Granted

Applicant's response of 4/22/99 has been entered into the record. However, the application still remains incomplete for the following reason(s):

☒ 1. The filing fee has not been received. The amount of \$ 1372 is due.

☐ 2. The surcharge of \$ _____ has not been received.

☐ 3. The declaration has not been received.

☐ 4. The oath or declaration is not executed in compliance with 37 CFR 1.64(a) because:

☐ a. The inventor's signature is missing.

☐ b. The signature is missing for inventor(s) _____


☐ c. The application is one filed under 37 CFR 1.42, 1.43, or 1.47, and the oath or declaration is not executed by the person(s) qualified to make the oath or declaration.

To prevent **ABANDONMENT** of this application, a complete response is required.

The period for response remains as set forth in the Notice to File Missing Parts dated _____. However, you may obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136(a) by filing a petition accompanied by the appropriate fee (37 CFR 1.17).

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3-OFFICE COPY

FORM PTO-1864 (REV.7-96)

★ U.S. GPO: 1998-404-498/40518

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy Bear, et al.

Application No.:

Filed: March 1, 1999

For: A METHOD AND APPARATUS
FOR ROUTING A TRANSACTION
WITHIN A NETWORK
ENVIRONMENT

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231



) Examiner: Not yet assigned

) Art Unit: 2756

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail with sufficient postage
In an envelope addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231

on April 19, 1999 Date of Deposit
Linda Vayre H Name of Person Mailing Correspondence
Linda Vayre H Signature 4-16-99 Date

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date
Granted) mailed April 7, 1999, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37
C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

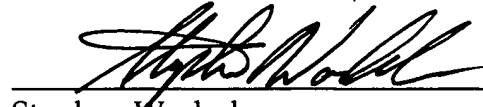
If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____

4/19/99



Stephen Warhola
Reg. No. 43,237

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Los Angeles, CA 90025-1026
(408) 720-8598